

## RC-5/14: Financing and budget for the biennium 2012–2013

*The Conference of the Parties,*

*Taking note* of the amended financial rules of the Conference of the Parties, its subsidiary bodies and the Convention Secretariat adopted in decision RC-5/1,

1. *Approves* the programme of activities and operational budget for the biennium 2012–2013 of 3,740,876 United States dollars for 2012 and 3,803,087 dollars for 2013 for the purposes set out in table 1 of the present decision, which are presented by budget code line in table 2;
2. *Authorizes* the executive secretaries of the Secretariat of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade (hereinafter referred to as the executive secretaries) to make commitments up to the approved operational budget, drawing upon available cash resources;
3. *Invites* the governing bodies of the United Nations Environment Programme and the Food and Agriculture Organization of the United Nations to continue their financial and other support for the operation of the Convention and its Secretariat in 2012–2013;
4. *Welcomes* the continued annual contribution by Italy and Switzerland, the host Governments, of 600,000 euros each to the Secretariat to offset planned expenditures;
5. *Takes note* of the commitment of the Government of Italy in a communiqué to the executive secretaries on 22 June 2011 to pay to the Secretariat 452,461 euros of its host country arrears no later than July 2011;
6. *Requests* the Government of Italy to pay the balance of its host country arrears according to the following schedule: 250,000 euros by 30 September 2011; 250,000 euros by 30 November 2011; and the balance of 525,583.89 euros by 30 April 2012;
7. *Notes* that the Italian host country arrears payments will be used, among other things, to enable the Secretariat to perform its functions in line with paragraph 2 of Article 19 of the Convention, in particular to facilitate assistance to the parties, particularly developing-country parties, on request, in the implementation of the Convention;
8. *Requests* the Executive Director of the United Nations Environment Programme, on an exceptional and as-needed basis, to authorize the loan of financial resources up to a maximum of 500,000 dollars to enable the continued and uninterrupted operation of the Secretariat pending receipt of Italian host country arrears repayments;
9. *Requests* the Government of Italy to adhere to the terms of its offer presented at the first meeting of the Conference of the Parties to host jointly with the Government of Switzerland the Secretariat in 2012 and subsequent years;
10. *Decides* on an exceptional basis not to approve a staffing table but instead to take note of the indicative staffing table for the Secretariat for the biennium 2012–2013 as set out in table 5, with that table being used for costing purposes to set the overall budget;
11. *Authorizes* the executive secretaries to determine the staffing levels, numbers and structure of the Secretariat in a flexible manner, provided that the executive secretaries remain within the overall budget for the biennium 2012–2013;
12. *Adopts* the indicative scale of assessments for the apportionment of expenses for the biennium 2012–2013 set out in table 4 of the present decision and authorizes the executive secretaries, consistent with the Financial Regulations and Rules of the United Nations, to adjust the scale to include all parties for which the Convention enters into force before 1 January 2012 for 2012 and before 1 January 2013 for 2013;
13. *Decides* to maintain the working capital reserve at the level of 15 per cent of the average annual operational budgets for 2012–2013;
14. *Notes with concern* that a number of parties have not paid their contributions to the operational budgets for 2010 and prior years, contrary to the provisions of paragraph 15 (a) of the financial rules and urges parties to pay their contributions promptly by or on 1 January of the year to which the contributions apply;
15. *Decides*, with regard to assessed and host country contributions due from 1 January 2010 onwards that any party whose contributions are in arrears for two or more years shall not be eligible to become a member of the Bureau of the Conference of the Parties or a member of its

subsidiary bodies. This shall not apply to parties that are least developed countries or small island developing States or to parties that have agreed on and are respecting a schedule of payments;

16. *Takes note* of the funding estimates included in table 3 for activities under the Convention to be financed from the Special Trust Fund of the Convention and urges parties and observers to contribute to that Fund;

17. *Decides* that the trust funds for the Convention shall be continued until 31 December 2013 and requests the Executive Director of the United Nations Environment Programme to extend them for the biennium 2012–2013, subject to the approval of the Governing Council of the United Nations Environment Programme;

18. *Notes with concern* the level of funds available in the Special Trust Fund for the participation of developing-country parties, in particular from least developed countries and small island developing States, and parties with economies in transition, in meetings of the Convention;

19. *Takes note* of the suggestion by the Government of Switzerland to allocate 50 per cent of its annual host country contribution to the General Trust Fund and 50 per cent to the Special Trust Fund, for the purpose of ensuring the broad participation of developing-country parties, in particular least developed countries and small island developing States, and parties with economies in transition at the meetings of the Conference of the Parties and of supporting joint activities between the Basel, Rotterdam and Stockholm conventions;

20. *Decides* to consider this suggestion further at the sixth meeting of the Conference of the Parties;

21. *Urges* parties and others in a position to do so to contribute urgently to the Special Trust Fund with a view to ensuring the broad participation of developing-country parties, in particular least developed countries and small island developing States, and parties with economies in transition at the meetings of the Conference of the Parties and for joint activities between the Basel, Rotterdam and Stockholm conventions;

22. *Requests* the executive secretaries, bearing in mind decision SC-4/34, further to enhance efficiency in the use of financial and human resources in accordance with the priorities set by the Conference of the Parties and to report on the outcome of their efforts;

23. *Requests* the executive secretaries to prepare a budget for the biennium 2014–2015, for consideration by the Conference of the Parties at its sixth ordinary meeting, explaining the key principles and assumptions on which the budget is based and presenting expenditures for the 2014–2015 period in the programmatic format while also presenting them by budget code lines;

24. *Notes* that there is a need to facilitate priority-setting by providing the parties with timely information on the financial consequences of different options and, to that end, requests the executive secretaries to include in the proposed operational budget for the biennium 2014–2015 three alternative funding scenarios based on:

- (a) Their assessment of the required growth of the operational budget to finance all proposals before the Conference of the Parties that have budgetary implications;
- (b) Maintaining the operational budget at the 2012–2013 level in nominal terms;
- (c) Increasing the operational budget from the 2012–2013 level by 5 per cent in nominal terms;

25. *Requests* the executive secretaries at the sixth ordinary meeting of the Conference of the Parties to provide, where relevant, cost estimates for actions that have budgetary implications that are not foreseen in the draft programme of work but are included in proposed draft decisions before the adoption of those decisions by the Conference of the Parties;

26. *Requests* the Executive Director to request an audit by the Office of Internal Oversight Services on coordination and cooperation among the Basel, Rotterdam and Stockholm conventions and to present the report of that audit to the Conference of the Parties for consideration at its sixth ordinary meeting, in 2013.

Table 1

## A. Programme budget for 2012–2013 (in United States dollars)

## Preparation and delivery of meetings of the Conference of the Parties and subsidiary bodies

Activity number *	Activities	Year 2012					Year 2013				
		Amount			Source of funding		Amount			Source of funding	
		Staff costs	Non-staff costs	Total costs	RO Trust Fund	RV Trust Fund	Staff costs	Non-staff costs	Total costs	RO Trust Fund	RV Trust Fund
1	Sixth meeting of the Conference of the Parties. Duration: 5 days.	185 202	310 000	495 202	495 202	-	332 960	805 000	1 137 960	637 960	500 000
2	<b>Eighth and ninth meetings of the Chemical Review Committee.</b> Duration: 5 days each.	216 069	217 500	433 569	433 569	-	225 389	217 500	442 889	442 889	-
3	<b>Annual meetings of the Bureau:</b> one regular meeting of the Rotterdam Convention Bureau in 2012 and one joint Bureau meeting in 2013.	12 347	14 000	26 347	26 347	-	12 806	14 000	26 806	26 806	-
4 (Synergy 6)	Support the work of and coordination among the scientific bodies under the conventions and identify common issues and linkages between the conventions. Proposed co-funding by the three conventions: Basel: BC: \$6,650 and BD: \$15,000 per year; <b>Rotterdam: RO: \$6,650 and RV: \$25,000 per year;</b> and Stockholm: SC: \$6,700 and SV: \$25,000 per year; total: \$20,000 and \$65,000 per year.	24 694	31 650	56 344	31 344	25 000	25 612	31 650	57 262	32 262	25 000
	<b>A. Resource kit</b>										
5	Review content and components of resource kit, prepare new material and update key publications, and make available in electronic format; consider possible training opportunities (Joint portion included under joint budget S1).	46 419	72 500	118 919	71 419	47 500	46 092	67 500	113 592	66 092	47 500
6 (synergy)	Develop toolkit and electronic training tools and upgrade existing tools. <i>Proposed co-</i>	74 081	108 300	182 381	82 381	100 000	76 837	108 300	185 137	85 137	100 000

1)	<i>funding by the three conventions: Basel: BC: \$8,400 and BD: \$82,500 per year; Rotterdam: RO: \$8,300 and RV: \$100,000 per year; and Stockholm: SC: \$8,300 and SV: \$132,500 per year; total: \$25,000 and \$315,000 per year.</i>										
7	Update and reproduce the text of the Convention.	31 485	20 000	51 485	51 485	-	-	-	-	-	-
8 (synergy 7)	Update the general technical guidelines on the environmentally sound management of persistent organic pollutant waste to include new persistent organic pollutants. <i>Proposed co-funding by two conventions: Basel: BD: \$17,500 per year; Stockholm: SV: \$20,000 per year; total: \$37,500 per year.</i>	-	-	-	-	-	-	-	-	-	-
9 (synergy 14)	Publications: produce and disseminate various legal and technical publications. <i>Proposed co-funding by the three conventions: Basel: BC: \$19,150 per year; Rotterdam: RO: \$19,150 per year; and Stockholm: SC: \$19,200 per year; total: \$57,500 per year.</i>	12 347	19 150	31 497	31 497	-	12 806	19 150	31 956	31 956	-
<b>B. National and subregional meetings</b>											
10 (synergy 2)	Capacity-building programmes: develop and deliver assistance based on regional and national needs. <i>Proposed co-funding by the three conventions: Basel: BC: \$6,650 and BD: \$115,000 per year; Rotterdam: RO: \$6,700 and RV: \$100,000 per year; and Stockholm: SC: \$6,650 and SV: \$120,00 per year; total: \$20,000 and \$335,000 per year.</i>	69 142	106 700	175 842	75 842	100 000	71 715	106 700	178 415	78 415	100 000
11 (synergy 15)	National reporting. <i>Proposed co-funding by two conventions: Basel: BD: \$45,000 in 2012 and \$50,000 in 2013; and Stockholm: SV: \$45,000 in 2012 and \$50,000 in 2013; total: \$90,000 in 2012 and \$100,000 in 2013.</i>	-	-	-	-	-	-	-	-	-	-
12 *	Develop action-oriented tools, including electronic ones, to identify and outline elements for national activities and strategies for the implementation of the Rotterdam Convention, including Secretariat helpdesk support, videoconferences, a comprehensive independent review and subregional hands-on training courses or meetings. (Joint portion is	91 366	95 000	186 366	91 366	95 000	92 204	95 000	187 204	92 204	95 000

UNEP/FAO/RC/COP.5/26

	included under joint activities S3).										
<b>13</b> (synergy 3)	Undertake synergistic capacity-building projects for the development of national institutional frameworks for the implementation of the conventions; provide technical assistance through the regional centres and in cooperation and coordination with the subregional offices of FAO and UNEP. <i>Proposed co-funding by the three conventions: Basel: BC: \$9,150 in 2012 and \$10,800 in 2013, BD: \$259,000 per year; Rotterdam: RO: \$0 and RV: \$120,000 per year; Stockholm: SC: \$9,150 in 2012 and \$10,900 in 2013, SV: \$269,000 per year; total: \$60,000 for 2012–2013 and \$600,000 per year.</i>	61 734	120 000	181 734	61 734	120 000	64 031	120 000	184 031	64 031	120 000
<b>14</b> *	Strengthen national capacities related to severely hazardous pesticide formulations, including by facilitating pilot projects.	55 561	285 000	340 561	55 561	285 000	56 347	195 000	251 347	56 347	195 000
<b>15</b> *	Develop programmes and provide training to assist Parties with specific issues upon their request; provide training focusing on basic obligations under the Convention, especially for new Parties and those experiencing difficulties; consider the development of electronic training tools, where relevant (under resource kits).	9 877	75 000	84 877	9 877	75 000	10 245	75 000	85 245	10 245	75 000
<b>16</b> *	Prepare notifications of final regulatory action to strengthen countries' capacities to prepare and submit notifications, including appropriate documentation of national decisions.	19 755	92 000	111 755	19 755	92 000	19 209	80 000	99 209	19 209	80 000
<b>17</b> *	Provide customs training activities, with possible linkages to relevant chemicals activities, and link to S3 and to 9 (trade partners meetings) where relevant.	41 979	150 000	191 979	41 979	150 000	40 980	50 000	90 980	40 980	50 000
<b>18 N</b> (new) *	Develop orientation workshop for effective participation in the work of the Chemical Review Committee, and link to S6.	11 524	25 000	36 524	11 524	25 000	11 312	25 000	36 312	11 312	25 000
<b>19</b> (synergy 8)	Annual joint meetings: organize meetings for FAO and UNEP regional officers and directors or coordinators of the Basel and Stockholm convention regional centres to	24 694	22 500	47 194	24 694	22 500	25 612	22 500	48 112	25 612	22 500

	elaborate regional strategies and identify opportunities for the development of joint activities, in particular technical assistance and capacity-building activities. One meeting per year at a total cost of \$100,000 per year for the three conventions. <i>Proposed co-funding by the three conventions: Basel: BD: \$37,500 per year; Rotterdam: RV: \$22,500 per year; Stockholm: SV: \$40,000 per year; total: \$100,000 per year.</i>										
<b>20</b> (synergy 9)	Facilitate South-South cooperation through the regional centres by addressing specific priority issues related to the Basel, Rotterdam and/or Stockholm conventions. <i>Proposed co-funding by the three conventions: Basel: BD: \$10,000 per year; Rotterdam: RV: \$20,000 per year; Stockholm: SV: \$20,000 per year; total: \$50,000 per year.</i>	12 347	20 000	32 347	12 347	20 000	12 806	20 000	32 806	12 806	20 000
<b>21</b> (synergy 4)	Develop strategic partnerships with other multilateral environmental agreements, such as for the management and disposal of ozone-depleting substance, persistent organic pollutants and other hazardous substances. <i>Proposed co-funding by two conventions: Basel: BD: \$100,000 per year; Stockholm: SV: \$100,000 per year, total: \$200,000 per year.</i>	-	-	-	-	-	-	-	-	-	-
	<b>C. Working on industrial chemicals</b>										
<b>22 *</b>	Continue to develop, in collaboration with the Inter-Organization Programme for the Sound Management of Chemicals and other relevant partners, a programme on industrial chemicals.	87 662	190 000	277 662	87 662	190 000	90 924	122 500	213 424	90 924	122 500
	<b>D. Partners in the regional delivery of technical assistance</b>										
<b>23 *</b>	Develop and apply an approach to identify a limited number (up to 20) of technical experts from the regions to collaborate with the	29 632	50 000	79 632	29 632	50 000	25 612	-	25 612	25 612	-

	Secretariat in the delivery of technical assistance activities; convene one meeting to enable the experts to coordinate the delivery of such activities.										
24	Integrate appropriate Rotterdam Convention-specific components in individual field projects of the FAO pesticide management programme and provide technical support at regular meetings of regional entities related to pesticides and chemicals management better to address and include Rotterdam Convention-specific components; including continued cooperation with the Strategic Approach to International Chemicals Management, United Nations Industrial Development Organization, World Health Organization and others.	53 092	152 500	205 592	53 092	152 500	58 907	98 500	157 407	58 907	98 500
25 (synergy 5)	Work with regional liaison offices in Latin America and the Caribbean, Africa and Asia in collaboration with key partners.	14 816	-	14 816	14 816	-	15 367	-	15 367	15 367	-
	<b>E. Outreach and public awareness</b>										
26	Public awareness meetings: implement outreach activities, including activities specifically related to the Rotterdam Convention, e.g., "Right to know" campaign. (Joint portion included in the joint budget under S14 and S15).	28 398	70 000	98 398	28 398	70 000	30 735	30 000	60 735	30 735	30 000
27	Develop and implement communication strategy (specifically related to the Rotterdam Convention).	53 091	40 000	93 091	53 091	40 000	55 067	20 000	75 067	55 067	20 000
28 (synergy 12 and 13)	Joint outreach and awareness-raising. Basel: BD: \$22,000 per year; <b>Rotterdam: RV: \$31,000 per year</b> ; Stockholm: SV: \$38,000 per year; total: \$91,000 per year.	61 734	31 000	92 734	61 734	31 000	64 030	31 000	95 030	64 030	31 000
	<b>F. Knowledge and information management</b>										
29 *	Support parties in the operation of the prior informed consent procedure and information exchange provisions of the Convention by reviewing information submitted and liaising concerning designated national authorities, official contact points, final regulatory	135 815	-	135 815	135 815	-	131 904	-	131 904	131 904	-

	actions, severely hazardous pesticides formulations; importing country responses. (Link to S10: clearing-house mechanism).										
30	PIC database.	90 132	10 000	100 132	100 132	-	87 082	10 000	97 082	97 082	-
31	PIC Circular.	101 244	30 000	131 244	131 244	-	96 046	30 000	126 046	126 046	-
32	Decision guidance documents: translate into 3 languages.	66 313	45 000	111 313	111 313		68 793	15 000	83 793	83 793	-
33	Rotterdam Convention website: translate into French and Spanish only. (Joint portion is included under the joint budget under S12).	41 979	37 000	78 979	53 979	25 000	38 418	37 000	75 418	50 418	25 000
34 (synergy 10)	Joint clearing-house mechanism for information exchange. <i>Proposed co-funding by the three conventions: Basel: BC: \$28,000 and BD: \$37,600 per year; Rotterdam: RO: \$0 and RV: \$39,500 per year; Stockholm: SC: \$28,000 and SV: \$39,500 per year; total: \$127,500 per year.</i>	123 468	59 500	182 968	143 468	39 500	125 500	59 500	185 000	145 500	39 500
35 (synergy 11)	Joint information technology services. <i>Proposed co-funding by the three conventions: Basel: BD: \$0; Rotterdam: RO: \$31,500 per year and RV: \$0; Stockholm: SC: \$31,500 per year and SV: \$80,000; total: \$63,000 per year and \$80,000.</i>	18 520	31 500	50 020	50 020	-	19 209	31 500	50 709	50 709	-
	<b>G. Executive direction, management and strategic planning</b>										
36	Provide general and legal policy advice to Parties.	24 694	-	24 694	24 694	-	28 174	-	28 174	28 174	-
37	Provide overall management of the Secretariat.	55 561	35 000	90 561	90 561	-	56 347	35 000	91 347	91 347	-
38	Provide financial management and administration of the Secretariat.	43 214	-	43 214	43 214	-	43 541	-	43 541	43 541	-
39	Cooperate and coordinate with partners, including other multilateral environmental agreements and intergovernmental organizations.	27 163	10 000	37 163	37 163	-	23 051	10 000	33 051	33 051	-
40	Contribute to FAO and UNEP chemicals-related activities.	32 102	10 000	42 102	42 102	-	23 051	10 000	33 051	33 051	-
41	Raise funds for activities under the voluntary trust fund (RV).	54 326	20 000	74 326	74 326	-	58 908	20 000	78 908	78 908	-



<b>42</b> (synergy 16)	<b>Resource mobilization:</b> develop and implement joint resource mobilization and fund-raising strategies; undertake donor and partner profiling; carry out monitoring and reporting in compliance with donor requirements; meet with donors. <i>Proposed co-funding by the three conventions: Basel: BC: \$15,000 per year; Rotterdam: RO: \$15,000 per year; and Stockholm: SC: \$15,000 per year; total: \$45,000 per year.</i>	7 408	15 000	22 408	22 408	-	7 684	15 000	22 684	22 684	-
<b>43</b> (synergy 17)	Provide support for the review of the synergies decisions. <i>Proposed co-funding by the three conventions: Basel: BC: \$10,350 in 2012; Rotterdam: RO: \$10,300 in 2012; and Stockholm: SC: \$10,350 in 2012; total: \$31,000 in 2012.</i>	6 173	10 300	16 473	16 473	-	2 561	-	2 561	2 561	-
	<b>H. Office equipment, supplies and services</b>										
<b>44</b>	Procure expendable equipment, including office supplies, toners and stationary.	27 163	30 000	57 163	57 163	-	24 332	30 000	54 332	54 332	-
<b>45</b>	Procure non-expendable office equipment, including furniture. (Joint portion for computer software and hardware, and required licenses reported under joint activities under S11).	27 163	19 000	46 163	46 163	-	24 332	14 000	38 332	38 332	-
<b>46</b>	Rent and maintain equipment, provide communication, mail and postage.	27 163	47 500	74 663	74 663	-	24 332	47 500	71 832	71 832	-
<b>47</b>	Rent office space, including electricity and cleaning.	27 163	65 000	92 163	92 163	-	24 332	65 000	89 332	89 332	-
		<b>2 265 806</b>	<b>2 792 600</b>	<b>5 058 406</b>	<b>3 303 406</b>	<b>1 755 000</b>	<b>2 385 204</b>	<b>2 783 800</b>	<b>5 169 004</b>	<b>3 347 504</b>	<b>1 821 500</b>

**Note:**

N = New activity included in the proposed programme of work for 2012–2013

() = Former activity number from approved programme of work for 2009–2011

P = Priority

S = Synergy/joint activity

\* = Amended activity

Table 2

## Summary table of total costs per budget code level (in United States dollars)

	RO budget 2009	RO budget 2010	RO budget 2011	Total triennium 2009–2011	RO budget 2012	RO budget 2013	Total biennium 2012–2013
<b>10 Project personnel component</b>							
<b>11 Project personnel</b>							
<b>1199 Total</b>	<b>1 795 685</b>	<b>1 867 513</b>	<b>1 942 215</b>	<b>5 605 413</b>	<b>1 831 273</b>	<b>1 933 289</b>	<b>3 764 563</b>
<b>12 Consultants</b>							
1201 Outreach in implementation of the Convention: assistance to parties	-	-	-	-	27 000	22 000	49 000
1202 Core Secretariat costs: consultants	90 000	85 000	45 000	220 000	8 300	8 300	16 600
1280 Consultants: joint: technical assistance/training tools	-	-	-	-	20 000	20 000	40 000
1281 Consultants: joint: scientific and technical	-	-	-	-	6 650	6 650	13 300
1282 Consultants: joint: clearing-house development	-	-	-	-	5 300	-	5 300
1285 Consultants: joint: review arrangements	-	-	-	-	-	-	-
<b>1299 Total</b>	<b>90 000</b>	<b>85 000</b>	<b>45 000</b>	<b>220 000</b>	<b>67 250</b>	<b>56 950</b>	<b>124 200</b>
<b>13 Administrative support</b>							
<b>General Service staff</b>							
<i>Subtotal administrative support staff</i>	<i>521 878</i>	<i>542 753</i>	<i>564 463</i>	<i>1 629 094</i>	<i>434 533</i>	<i>451 914</i>	<i>886,447</i>
1305 Conference services: meetings of the Conference of the Parties	150 000	230 000	245 000	625 000	310 000	275 000	585,000
1331 Conference services: Chemical Review Committee	110 000	110 000	110 000	330 000	110 000	110 000	220 000
<b>1399 Total</b>	<b>781 878</b>	<b>882 753</b>	<b>919 463</b>	<b>2 584 094</b>	<b>854 533</b>	<b>836 914</b>	<b>1 691 447</b>
<b>16 Travel on official business</b>							
1601 Travel on official business	155 000	150 000	139 000	444 001	87 500	117 500	205,000
1680 Travel on official business (joint: capacity-building regional level)	-	-	-	-	6 700	6 700	13,400
1681 Travel on official business (joint: overall management)	-	-	-	-	15 000	15 000	30,000
<b>1699 Total</b>	<b>155 000</b>	<b>150 000</b>	<b>139 000</b>	<b>444 001</b>	<b>109 200</b>	<b>139 200</b>	<b>248,400</b>
<b>1999 Component total</b>	<b>2 822 564</b>	<b>2 985 267</b>	<b>3 045 677</b>	<b>8 853 508</b>	<b>2 862 256</b>	<b>2 966 353</b>	<b>5 828 609</b>
<b>20 Subcontract component</b>							
<b>23 Subcontracts with commercial companies</b>							

	RO budget 2009	RO budget 2010	RO budget 2011	Total triennium 2009–2011	RO budget 2012	RO budget 2013	Total biennium 2012–2013
2311 Core Secretariat costs: dedicated line	20 000	20 000	20 000	60 000	-	-	-
<b>2199 Total</b>	<b>20 000</b>	<b>20 000</b>	<b>20 000</b>	<b>60 000</b>	-	-	-
<b>2999 Component total</b>	<b>20 000</b>	<b>20 000</b>	<b>20 000</b>	<b>60 000</b>	-	-	-
<b>30 Training component</b>							
<b>33 Meetings/conferences</b>							
3301 Participants' travel: Bureau meeting	12 500	12 500	25 000	50 000	14 000	14 000	28,000
3302 Chemical Review Committee experts' travel	90 000	90 000	90 000	270 000	90 000	90 000	180,000
3304 Participants' travel Compliance Committee	36 600	36 600	36 600	109 800	-	-	-
<b>3399 Total</b>	<b>139 100</b>	<b>139 100</b>	<b>151 600</b>	<b>429 800</b>	<b>104 000</b>	<b>104 000</b>	<b>208,000</b>
<b>3999 Component total</b>	<b>139 100</b>	<b>139 100</b>	<b>151 600</b>	<b>429 800</b>	<b>104 000</b>	<b>104 000</b>	<b>208 000</b>
<b>40 Equipment and premises component</b>							
<b>41 Expendable equipment</b>							
4101 Office equipment: paper, toner, diskettes, CD-ROMs	24 000	24 000	24 000	72 000	30 000	30 000	60,000
<b>4199 Total</b>	<b>24 000</b>	<b>24 000</b>	<b>24 000</b>	<b>72 000</b>	<b>30 000</b>	<b>30 000</b>	<b>60 000</b>
<b>42 Non-expendable equipment</b>							
4201 Core Secretariat costs: computer hardware and software	54 000	54 000	35 000	143 000	19 000	14 000	33 000
4280 Office equipment: hardware and software: joint IT services					31 500	31 500	63 000
<b>4299 Total</b>	<b>54 000</b>	<b>54 000</b>	<b>35 000</b>	<b>143 000</b>	<b>50 500</b>	<b>45 500</b>	<b>96 000</b>
<b>43 Premises</b>							
4301 Office rental/premises	39 700	39 700	44 600	124 000	65 000	65 000	130 000
<b>4399 Total</b>	<b>39 700</b>	<b>39 700</b>	<b>44 600</b>	<b>124 000</b>	<b>65 000</b>	<b>65 000</b>	<b>130 000</b>
<b>4999 Component total</b>	<b>117 700</b>	<b>117 700</b>	<b>103 600</b>	<b>339 000</b>	<b>145 500</b>	<b>140 500</b>	<b>286 000</b>
<b>52 Reporting costs</b>							
Other Secretariat functions: printing decision							
5201 guidance documents in the official languages of the United Nations	5 000	5 000	5 000	15 000	45 000	15 000	60 000
5211 Outreach in implementation of the Convention: resource kit	40 000	40 000	40 000	120 000	20 000	20 000	40 000
5212 Other Secretariat functions: translation/printing of PIC Circulars	30 000	30 000	30 000	90 000	30 000	30 000	60 000
5214 Other printing: reprinting and new publications	85 000	85 000	85 000	255 000	20 000	-	20 000

		RO budget 2009	RO budget 2010	RO budget 2011	Total triennium 2009–2011	RO budget 2012	RO budget 2013	Total biennium 2012–2013
5280	Translation and publishing: joint: publications	-	-	-	-	19 150	19 150	38 300
5282	Translation and publishing: joint: review arrangements	-	-	-	-	5 000	-	5 000
<b>5299</b>	<b>Total</b>	<b>160 000</b>	<b>160 000</b>	<b>160 000</b>	<b>480 000</b>	<b>139 150</b>	<b>84 150</b>	<b>223 300</b>
<b>53</b>	<b>Sundry</b>							
5301	Core Secretariat costs: communications, postage, etc.,	24 000	24 000	24 000	72 000	47 500	47 500	95 000
<b>5399</b>	<b>Total</b>	<b>24 000</b>	<b>24 000</b>	<b>24 000</b>	<b>72 000</b>	<b>47 500</b>	<b>47 500</b>	<b>95 000</b>
<b>54</b>	<b>Hospitality</b>							
5401	Hospitality	5 000	5 000	5 000	15 000	5 000	5 000	10 000
<b>5499</b>	<b>Total</b>	<b>5 000</b>	<b>5 000</b>	<b>5 000</b>	<b>15 000</b>	<b>5 000</b>	<b>5 000</b>	<b>10 000</b>
<b>5999</b>	<b>Component total</b>	<b>189 000</b>	<b>189 000</b>	<b>189 000</b>	<b>567 000</b>	<b>191 650</b>	<b>136 650</b>	<b>328 300</b>
	<b>Direct project cost operational budget</b>	<b>3 288 364</b>	<b>3 451 067</b>	<b>3 509 877</b>	<b>10 249 308</b>	<b>3 303 406</b>	<b>3 347 504</b>	<b>6 650 910</b>
	UNEP programme support costs: 13%	427 487	448 639	456 284	1 332 410	429 443	435 175	864 618
	<b>Total operational budget</b>	<b>3 715 851</b>	<b>3 899 705</b>	<b>3 966 162</b>	<b>11 581 718</b>	<b>3 732 849</b>	<b>3 782 679</b>	<b>7 515 528</b>
	Increment to the working capital reserve: 15%	48 884			48 884	(15 421)	-	(15 421)
	Increment to the special contingency reserve: indexed to fluctuations in salary scales	46 876	18 001	18 721	83 598	23 449	20 408	43 856
	<b>Grand total</b>	<b>3 811 611</b>	<b>3 917 706</b>	<b>3 984 883</b>	<b>11 714 199</b>	<b>3 740 876</b>	<b>3 803 087</b>	<b>7 543 963</b>

Percentage increase from year to year	9.2%	2.8%	1.7%		-6.1%	1.7%	-4.5%
Host country contribution	1 752 000	1 752 000	1 752 000	5 256 000	1 615 200	1 615 200	3 230 400
Usage of fund balance	25 000	75 000	50 000	150 000	-	-	-
<b>Covered by parties</b>	<b>2 034 611</b>	<b>2 090 706</b>	<b>2 182 883</b>	<b>6 308 199</b>	<b>2 125 676</b>	<b>2 187 887</b>	<b>4 313 563</b>
<b>Percentage increase from year to year</b>	<b>3.4%</b>	<b>2.8%</b>	<b>4.4%</b>		<b>-2.6%</b>	<b>2.9%</b>	<b>0.9%</b>

\* €1,200,000 equal to \$1,634,877 for 2012–2013 at the United Nations exchange rate of 1.362 as at 1 February 2011. Revised to include the average United Nations exchange rate of 1.346 (average €=\$ for the period January 2010 to May 2011) equal to \$1,615,200.

**Table 3**  
**Voluntary budget for 2012–2013 (in United States dollars)**  
**Summary table of the total costs per budget code level**

		RV budget 2009	RV budget 2010	RV budget 2011	Total triennium 2009–2011	RV budget 2012	RV budget 2013	Total biennium 2012–2013
<b>10 Project personnel component</b>								
<b>12 Consultants</b>								
1202	Core Secretariat costs: consultants	10 000	10 000	-	20 000	188 250	76 250	264 500
1212	Outreach in implementation of the Convention: website development	100 000	50 000	50 000	200 000	-	-	-
1215	Technical assistance: measuring success: work on indicators	20 000	-	-	20 000	-	-	-
1280	Consultants: joint: technical assistance/training tools	-	-	-	-	10 000	10 000	20 000
1281	Consultants: joint: capacity-building national level	-	-	-	-	19 000	19 000	38 000
1282	Consultants: joint: scientific and technical	-	-	-	-	1 900	1 900	3 800
1285	Consultants: joint: clearing-house development	-	-	-	-	28 400	28 400	56 800
<b>1299</b>	<b>Total</b>	<b>130 000</b>	<b>60 000</b>	<b>50 000</b>	<b>240 000</b>	<b>247 550</b>	<b>135 550</b>	<b>383 100</b>
<b>13 Conference services</b>								
1380	Conference services: joint: annual meeting for regional centres	-	-	-	-	2 000	2 000	4 000
<b>1399</b>	<b>Total</b>		-	-	-	<b>2 000</b>	<b>2 000</b>	<b>4 000</b>
<b>16 Travel on official business</b>								
1601	Travel on official business	-	-	-	-	80 000	80 000	160 000
1681	Travel on official business: joint: technical assistance/training tools	-	-	-	-	5 000	5 000	10 000
1682	Travel on official business: joint: capacity-building regional level	-	-	-	-	3 000	3 000	6 000
1683	Travel on official business: joint: capacity-building national level	-	-	-	-	12 000	12 000	24 000
1685	Travel on official business: joint: scientific and technical	-	-	-	-	3 600	3 600	7 200
<b>1699</b>	<b>Total</b>		-	-	-	<b>103 600</b>	<b>103 600</b>	<b>207 200</b>
<b>1999 Component total</b>		<b>130 000</b>	<b>60 000</b>	<b>50 000</b>	<b>240 000</b>	<b>353 150</b>	<b>241 150</b>	<b>594 300</b>
<b>20 Subcontract component</b>								
2201	Subcontracts	-	-	-	-	10 000	-	10 000
2280	Subcontracts: joint: technical assistance/training tools	-	-	-	-	74 000	74 000	148 000
2281	Subcontracts: joint: capacity-building regional level	-	-	-	-	96 000	96 000	192 000
2282	Subcontracts: joint: capacity-building national level	-	-	-	-	85 000	85 000	170 000
2284	Subcontracts: joint: scientific and technical	-	-	-	-	6 000	6 000	12 000

		RV budget 2009	RV budget 2010	RV budget 2011	Total triennium 2009–2011	RV budget 2012	RV budget 2013	Total biennium 2012–2013
2287	Subcontracts: joint: south-south cooperation	-	-	-	-	16 000	16 000	32 000
2288	Subcontracts: joint: clearing-house development	-	-	-	-	7 600	7 600	15 200
2289	Subcontracts: joint: public awareness/Safe Planet campaign	-	-	-	-	31 000	31 000	62 000
<b>2299</b>	<b>Total</b>	-	-	-	-	<b>325 600</b>	<b>315 600</b>	<b>641 200</b>
<b>2999</b>	<b>Component total</b>	-	-	-	-	<b>325 600</b>	<b>315 600</b>	<b>641 200</b>
<b>30</b>	<b>Training component</b>							
<b>33</b>	<b>Meetings/conferences</b>							
3303	Meetings/workshops	1 293 000	2 154 000	1 085 000	<b>4 532 000</b>	926 250	629 750	<b>1 556 000</b>
3311	Participants' travel for meetings of the Conference of the Parties	-	-	500 000	<b>500 000</b>	-	500 000	<b>500 000</b>
3380	Participants' travel: joint: scientific and technical	-	-	-	-	11 500	11 500	<b>23 000</b>
3382	Participants travel: joint: annual meeting regional centres	-	-	-	-	20 500	20 500	<b>41 000</b>
<b>3399</b>	<b>Total</b>	<b>1 293 000</b>	<b>2 154 000</b>	<b>1 585 000</b>	<b>5 032 000</b>	<b>958 250</b>	<b>1 161 750</b>	<b>2 120 000</b>
<b>3999</b>	<b>Component total</b>	<b>1 293 000</b>	<b>2 154 000</b>	<b>1 585 000</b>	<b>5 032 000</b>	<b>958 250</b>	<b>1 161 750</b>	<b>2 120 000</b>
<b>40</b>	<b>Equipment and premises component</b>							
<b>4200</b>	<b>Non-expendable equipment</b>							
4281	Office equipment: hardware and software: joint: clearing-house development	-	-	-	-	3 500	3 500	<b>7 000</b>
<b>4299</b>	<b>Total</b>	-	-	-	-	<b>3 500</b>	<b>3 500</b>	<b>7 000</b>
<b>4999</b>	<b>Component total</b>	-	-	-	-	<b>3 500</b>	<b>3 500</b>	<b>7 000</b>
<b>52</b>	<b>Reporting costs</b>							
5213	Technical assistance resource kit	10 000	15 000	15 000	<b>40 000</b>	32 500	32 500	<b>65 000</b>
5214	Other Secretariat functions	-	-	-	-	60 000	45 000	<b>105 000</b>
5280	Reporting: joint	-	-	-	-	22 000	22 000	<b>44 000</b>
<b>5299</b>	<b>Total</b>	<b>10 000</b>	<b>15 000</b>	<b>15 000</b>	<b>40 000</b>	<b>114 500</b>	<b>99 500</b>	<b>214 000</b>
<b>5999</b>	<b>Component total</b>	<b>10 000</b>	<b>15 000</b>	<b>15 000</b>	<b>40 000</b>	<b>114 500</b>	<b>99 500</b>	<b>214 000</b>
<b>Direct project costs: operational budget</b>		<b>1 433 000</b>	<b>2 229 000</b>	<b>1 650 000</b>	<b>5 312 000</b>	<b>1 755 000</b>	<b>1 821 500</b>	<b>3 576 500</b>
UNEP programme support costs: 13%		186 290	289 770	214 500	<b>690 560</b>	228 150	236 795	<b>464 945</b>

	RV budget 2009	RV budget 2010	RV budget 2011	Total triennium 2009–2011	RV budget 2012	RV budget 2013	Total biennium 2012–2013
<b>Total operational budget</b>	<b>1 619 290</b>	<b>2 518 770</b>	<b>1 864 500</b>	<b>6 002 560</b>	<b>1 983 150</b>	<b>2 058 295</b>	<b>4 041 445</b>
<b>Grand total</b>	<b>1 619 290</b>	<b>2 518 770</b>	<b>1 864 500</b>	<b>6 002 560</b>	<b>1 983 150</b>	<b>2 058 295</b>	<b>4 041 445</b>
Percentage increase from year to year	28.1%	55.5%	-26.0%		6.4%	3.8%	-7.8%
Deduction from the reserve and fund balance	-	-	-		-	-	-
Host country contribution	-	-	-		-	-	-
<b>Covered by parties</b>	<b>1 619 290</b>	<b>2 518 770</b>	<b>1 864 500</b>	<b>6 002 560</b>	<b>1 983 150</b>	<b>2 058 295</b>	<b>4 041 445</b>
<b>Percentage increase from year to year</b>	<b>28.1%</b>	<b>55.5%</b>	<b>-26.0%</b>		<b>6.4%</b>	<b>3.8%</b>	<b>-7.8%</b>

Table 4

**Indicative scale of assessment for the General Trust Fund (RO) for the  
operational budget for the biennium 2012–2013 (in United States dollars)**

**Scale of assessment for 2012–2013**

**Portion of operational budget to be covered by assessed contributions:**
**2012**
**2 125 676**  
**2013**
**2 187 887**

		United Nations scale of assessment 2010**	Scale with 22% ceiling and 0.010% base	2012 Assessed contributions to be covered by the parties	2013 Assessed contributions to be covered by the parties
	<i>Member State</i>	<i>Percentage</i>	<i>Percentage</i>		
	<b>Pledged contribution</b>				
1	Albania*	0.010	0.013	270	278
2	Antigua and Barbuda*	0.002	0.010	213	219
3	Argentina	0.287	0.365	7 759	7 986
4	Armenia	0.005	0.010	213	219
5	Australia	1.933	2.458	52 256	53 785
6	Austria	0.851	1.082	23 005	23 679
7	Belgium	1.075	1.367	29 061	29 911
8	Belize	0.001	0.010	213	219
9	Benin	0.003	0.010	213	219
10	Bolivia (Plurinational State of)	0.007	0.010	213	219
11	Bosnia and Herzegovina	0.014	0.018	378	390
12	Botswana	0.018	0.023	487	501
13	Brazil	1.611	2.049	43 551	44 826
14	Bulgaria	0.038	0.048	1 027	1 057
15	Burkina Faso	0.003	0.010	213	219
16	Burundi	0.001	0.010	213	219
17	Cameroon	0.011	0.014	297	306
18	Canada	3.207	4.079	86 696	89 234
19	Cape Verde	0.001	0.010	213	219
20	Chad	0.002	0.010	213	219
21	Chile	0.236	0.300	6 380	6 567
22	China	3.189	4.056	86 210	88 733
23	Colombia*	0.144	0.183	3 893	4 007
24	Congo	0.003	0.010	213	219
25	Cook Islands	0.001	0.010	213	219
26	Costa Rica*	0.034	0.043	919	946
27	Côte d'Ivoire	0.010	0.013	270	278
28	Croatia	0.097	0.123	2 622	2 699
29	Cuba	0.071	0.090	1 919	1 976
30	Cyprus	0.046	0.059	1 244	1 280
31	Czech Republic	0.349	0.444	9 435	9 711
32	Democratic People's Republic of Korea	0.007	0.010	213	219
33	Democratic Republic of the Congo	0.003	0.010	213	219
34	Denmark	0.736	0.936	19 897	20 479
35	Djibouti	0.001	0.010	213	219
36	Dominican Republic	0.042	0.053	1 135	1 169
37	Dominica	0.001	0.010	213	219
38	Ecuador	0.040	0.051	1 081	1 113
39	El Salvador	0.019	0.024	514	529
40	Equatorial Guinea	0.008	0.010	213	219
41	Eritrea	0.001	0.010	213	219
42	Estonia	0.040	0.051	1 081	1 113
43	Ethiopia	0.008	0.010	213	219
44	European Union	2.500	2.500	53 142	54 697
45	Finland	0.566	0.720	15 301	15 749
46	France	6.123	7.787	165 526	170 370



				2012	2013
		United Nations scale of assessment 2010**	Scale with 22% ceiling and 0.010% base	Assessed contributions to be covered by the parties	Assessed contributions to be covered by the parties
	<i>Member State</i>	<i>Percentage</i>	<i>Percentage</i>		
47	Gabon	0.014	0.018	378	390
48	Gambia	0.001	0.010	213	219
49	Georgia	0.006	0.010	213	219
50	Germany	8.018	10.197	216 754	223 098
51	Ghana	0.006	0.010	213	219
52	Greece	0.691	0.879	18 680	19 227
53	Guatemala*	0.280	0.356	7 569	7 791
54	Guinea	0.002	0.010	213	219
55	Guinea-Bissau	0.001	0.010	213	219
56	Guyana	0.001	0.010	213	219
57	Hungary	0.291	0.370	7 867	8 097
58	India	0.534	0.679	14 436	14 858
59	Iran (Islamic Republic of)	0.233	0.296	6 299	6 483
60	Ireland	0.498	0.633	13 463	13 857
61	Italy	4.999	6.358	135 140	139 095
62	Jamaica	0.014	0.018	378	390
63	Japan	12.530	15.935	338 730	348 643
64	Jordan	0.014	0.018	378	390
65	Kazakhstan	0.076	0.097	2 055	2 115
66	Kenya	0.012	0.015	324	334
67	Kuwait	0.263	0.334	7 110	7 318
68	Kyrgyzstan	0.001	0.010	213	219
69	Lao People's Democratic Republic	0.001	0.010	213	219
70	Latvia	0.038	0.048	1 027	1 057
71	Lebanon	0.033	0.042	892	918
72	Lesotho	0.001	0.010	213	219
73	Liberia	0.001	0.010	213	219
74	Libyan Arab Jamahiriya	0.129	0.164	3 487	3 589
75	Liechtenstein	0.009	0.010	213	219
76	Lithuania	0.065	0.083	1 757	1 809
77	Luxembourg	0.090	0.114	2 433	2 504
78	Madagascar	0.003	0.010	213	219
79	Malawi*	0.001	0.010	213	219
80	Malaysia	0.253	0.322	6 839	7 040
81	Maldives	0.001	0.010	213	219
82	Mali	0.003	0.010	213	219
83	Marshall Islands	0.001	0.010	213	219
84	Mauritania	0.001	0.010	213	219
85	Mauritius	0.011	0.014	297	306
86	Mexico	2.356	2.996	63 691	65 555
87	Mongolia	0.002	0.010	213	219
88	Morocco*	0.058	0.074	1 568	1 614
89	Mozambique*	0.003	0.010	213	219
90	Namibia	0.008	0.010	213	219
91	Nepal	0.006	0.010	213	219
92	Netherlands	1.855	2.359	50 147	51 615
93	New Zealand	0.273	0.347	7 380	7 596
94	Nicaragua	0.003	0.010	213	219
95	Niger	0.002	0.010	213	219
96	Nigeria	0.078	0.099	2 109	2 170
97	Norway	0.871	1.108	23 546	24 235
98	Oman	0.086	0.109	2 325	2 393
99	Pakistan	0.082	0.104	2 217	2 282
100	Panama	0.022	0.028	595	612
101	Paraguay	0.007	0.010	213	219
102	Peru	0.090	0.114	2 433	2 504
103	Philippines	0.090	0.114	2 433	2 504
104	Poland	0.828	1.053	22 384	23 039
105	Portugal	0.511	0.650	13 814	14 218

				2012	2013
		United Nations scale of assessment 2010**	Scale with 22% ceiling and 0.010% base	Assessed contributions to be covered by the parties	Assessed contributions to be covered by the parties
	<i>Member State</i>	<i>Percentage</i>	<i>Percentage</i>		
106	Qatar	0.135	0.172	3 650	3 756
107	Republic of Korea	2.260	2.874	61 096	62 884
108	Republic of Moldova	0.002	0.010	213	219
109	Romania	0.177	0.225	4 785	4 925
110	Russian Federation*	1.602	2.037	43 308	44 575
111	Rwanda	0.001	0.010	213	219
112	Saint Vincent and the Grenadines	0.001	0.010	213	219
113	Samoa	0.001	0.010	213	219
114	Saudi Arabia	0.830	1.056	22 438	23 094
115	Senegal	0.006	0.010	213	219
116	Serbia*	0.037	0.047	1 000	1 030
117	Singapore	0.335	0.426	9 056	9 321
118	Slovakia	0.142	0.181	3 839	3 951
119	Slovenia	0.103	0.131	2 784	2 866
120	Somalia*	0.001	0.010	213	219
121	South Africa	0.385	0.490	10 408	10 712
122	Spain	3.177	4.040	85 885	88 399
123	Sri Lanka	0.019	0.024	514	529
124	Sudan	0.010	0.013	270	278
125	Suriname	0.003	0.010	213	219
126	Sweden	1.064	1.353	28 764	29 605
127	Switzerland	1.130	1.437	30 548	31 442
128	Syrian Arab Republic	0.025	0.032	676	696
129	Thailand	0.209	0.266	5 650	5 815
130	The former Yugoslav Republic of Macedonia*	0.007	0.010	213	219
131	Togo	0.001	0.010	213	219
132	Tonga*	0.001	0.010	213	219
133	Trinidad and Tobago*	0.044	0.056	1 189	1 224
134	Uganda	0.006	0.010	213	219
135	Ukraine	0.087	0.111	2 352	2 421
136	United Arab Emirates	0.391	0.497	10 570	10 879
137	United Kingdom of Great Britain and Northern Ireland	6.604	8.399	178 529	183 754
138	United Republic of Tanzania	0.008	0.010	213	219
139	Uruguay	0.027	0.034	730	751
140	Venezuela (Bolivarian Republic of)	0.314	0.399	8 489	8 737
141	Viet Nam	0.033	0.042	892	918
142	Yemen	0.010	0.013	270	278
143	Zambia*	0.004	0.010	213	219
<b>Grand total</b>		<b>79</b>	<b>100</b>	<b>2 125 676</b>	<b>2 187 887</b>

\* New parties that have ratified the Convention.

\*\* United Nations scale of assessment for the period 2010–2012 according to General Assembly resolution 64/248 of 24 December 2009.

**Table 5**

Staff category and level	Approved			Proposed 4			Remarks
	2009–2011 Staffing total	FAO 2009–2011	UNEP 2009–2011	2012-2013 Staffing total	FAO 2012–2013	UNEP 2012–2013	
<b>A. Professional category</b>							
D-1	0.50	0.25	0.25	0.50	0.25	0.25	note 1, 2
P-5	2.00	1.00	1.00	2.00	1.00	1.00	note 1
P-4	2.50	1.00	1.50	2.50	1.00	1.50	note 2
P-3	7.00	3.00	4.00	7.00	3.00	4.00	note 1
P-2	2.00	1.00	1.00	2.00	1.00	1.00	
<b>Subtotal</b>	<b>14.00</b>	<b>6.25</b>	<b>7.75</b>	<b>14.00</b>	<b>6.25</b>	<b>7.75</b>	
<b>B. General Service category</b>							
GS	7.25	2.50	4.75	5.75	1.25	4.50	note 1, 3
<b>Total (A+B)</b>	<b>21.25</b>	<b>8.75</b>	<b>12.50</b>	<b>19.75</b>	<b>7.50</b>	<b>12.25</b>	

Note 1. FAO includes 0.25 D-1, one P-5, one P-3 and 0.25 General Service posts provided in-kind by FAO.

Note 2. UNEP includes 0.25 D-1 co-financed by the Stockholm and Rotterdam conventions, and 0.50 Administrative Officer funded from programme support costs.

Note 3. UNEP includes four 50 per cent finance/budget, administrative, database and publication assistants funded from programme support costs.

Note 4. The staff costs provided in table 1 are equivalent to 92 per cent of the staff costs required to fill all posts under this indicative staffing table.

**Standard salary cost for Geneva and Rome 2012–2013 (in United States dollars)**

	Geneva				Rome			
	2010	2011	2012 <sup>1</sup>	2013 <sup>2</sup>	2010	2011	2012 <sup>1</sup>	2013 <sup>2</sup>
<b>A. Professional category</b>								
D-2 level	268 840	279 594	297 336	309 229	266 373	277 028	283 071	294 394
D-1 level	245 336	255 149	273 416	284 353	252 807	262 920	274 697	285 685
P-5 level	217 255	225 945	244 088	253 852	220 859	229 693	242 249	251 939
P-4 level	187 616	195 121	206 336	214 589	192 217	199 906	205 009	213 209
P-3 level	157 872	164 187	172 432	179 329	156 225	162 474	168 892	175 648
P-2 level	132 080	137 363	143 624	149 369	133 074	138 397	139 901	145 497
<b>B. General Service category</b>								
GS	106 600	110 864	125 216	130 225	110 935	115 372	121 493	126 353

1 The United Nations standard salary costs for Geneva and Rome for the year 2011 (version 10, dated 11 January 2011) were used to calculate the staff costs in 2012 plus 4 per cent.

2 The 2012 costs plus 4 per cent were used to calculate the staff costs in 2013.

## Annex II

### List of pre-session documents organized by agenda item

#### Item 2: Adoption of the agenda

UNEP/FAO/RC/COP.5/1/Rev.1      Provisional agenda

#### Item 3: Organizational matters

##### (a) Organization of work

UNEP/FAO/RC/COP.5/1/Rev.1/Add.1/Rev.1      Annotations to the provisional agenda

UNEP/FAO/RC/COP.5/2      Scenario note for the fifth meeting of the Conference of the Parties to the Rotterdam Convention

UNEP/FAO/RC/COP.5/INF/1      Provisional list of meeting documents organized by provisional agenda item and by document number

#### Item 4: Rules of procedure for the Conference of the Parties

UNEP/FAO/RC/COP.5/3      Rules of procedure for the Conference of the Parties

#### Item 5: Matters related to the implementation of the Convention

##### (a) Status of implementation

UNEP/FAO/RC/COP.5/4      Current regulatory processes for chemicals and their relationship to the definitions of banned or severely restricted chemicals in Article 2 of the Rotterdam Convention

UNEP/FAO/RC/COP.5/5      Status of implementation of the Convention

UNEP/FAO/RC/COP.5/6      Possible approaches for dealing with chemicals recommended for listing in Annex III by the Chemical Review Committee but on which the Conference of the Parties is unable to reach consensus

UNEP/FAO/RC/COP.5/INF/2      Status of ratification of the Rotterdam Convention as at 30 May 2011

UNEP/FAO/RC/COP.5/INF/3      Status of designated national authorities and official contact points

UNEP/FAO/RC/COP.5/INF/7      Communication of the Secretariat

##### (b) Chemical Review Committee

UNEP/FAO/RC/COP.5/7/Rev.1      Appointments to the Chemical Review Committee

UNEP/FAO/RC/COP.5/8      Nomination of Governments to designate experts to the Chemical Review Committee

UNEP/FAO/RC/COP.5/9      Reports of the Chemical Review Committee

UNEP/FAO/RC/COP.5/9/Add.1/Rev.1      Addendum: Report of the Chemical Review Committee on the work of its fifth meeting

UNEP/FAO/RC/COP.5/9/Add.2/Rev.1      Addendum: Report of the Chemical Review Committee on the work of its sixth meeting

UNEP/FAO/RC/COP.5/9/Add.3      Addendum: Report of the Chemical Review Committee on the work of its seventh meeting

UNEP/FAO/RC/COP.5/INF/4/Rev.1	Information pertaining to experts serving on the Chemical Review Committee
UNEP/FAO/RC/COP.5/INF/5	Legal opinion on the application of criterion (d) of Annex II to the Rotterdam Convention
<b>(c) Consideration of chemicals for inclusion in Annex III to the Convention</b>	
UNEP/FAO/RC/COP.5/11	Inclusion of chrysotile asbestos in Annex III to the Rotterdam Convention
UNEP/FAO/RC/COP.5/12	Inclusion of endosulfan in Annex III to the Rotterdam Convention, as recommended by the Chemical Review Committee at its second meeting following notifications of final regulatory action from the Netherlands and Thailand
UNEP/FAO/RC/COP.5/13	Inclusion of endosulfan in Annex III to the Rotterdam Convention, as recommended by the Chemical Review Committee at its sixth meeting following notifications of final regulatory action from Burkina Faso, Cape Verde, the European Community, the Gambia, Guinea-Bissau, Mali, Mauritania, the Niger and Senegal
UNEP/FAO/RC/COP.5/14	Inclusion of alachlor in Annex III to the Rotterdam Convention
UNEP/FAO/RC/COP.5/15	Inclusion of aldicarb in Annex III to the Rotterdam Convention
<b>(d) Non-compliance</b>	
UNEP/FAO/RC/COP.5/16	Non-compliance: procedures and institutional mechanisms for determining non-compliance with the provisions of the Convention and for the treatment of parties found to be in non-compliance
<b>(e) Financial resources</b>	
UNEP/FAO/RC/COP.5/17	Follow-up to decision RC-4/8 on progress made towards the implementation of decision RC-3/5 on possible options for lasting and sustainable financial mechanisms
UNEP/FAO/RC/COP.5/INF/18	Progress report on the implementation of Governing Council decision SS.XI/8 on the consultative process on financing options for chemicals and wastes
<b>(f) Technical assistance</b>	
UNEP/FAO/RC/COP.5/10	Draft strategic plan for strengthening party capacity to provide import responses and notifications of final regulatory action for industrial chemicals
UNEP/FAO/RC/COP.5/18	Technical assistance: report on activities and analysis for the period 2009–2011
UNEP/FAO/RC/COP.5/19	Technical assistance: workplan for the biennium 2012–2013

UNEP/FAO/RC/COP.5/INF/6	National and regional delivery of technical assistance: information on 2009–2010 national, subregional and regional meetings in support of the implementation of the Rotterdam Convention
<b>(g) Trade</b>	
UNEP/FAO/RC/COP.5/21	Cooperation with the World Trade Organization
<b>(h) Information exchange</b>	
UNEP/FAO/RC/COP.5/20	Draft strategic plan for establishing procedures for the operation of the Rotterdam Convention component of the joint clearing-house mechanism
UNEP/FAO/RC/COP.5/INF/8	Information exchange requirements under the Rotterdam Convention and other information relevant to the development of a draft strategic plan for establishing procedures for the operation of the Rotterdam Convention components of the joint clearing-house mechanism
<b>Item 6: Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions</b>	
UNEP/FAO/RC/COP.5/25	Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions
UNEP/FAO/RC/COP.5/25/Add.1	Addendum: Joint activities
UNEP/FAO/RC/COP.5/25/Add.2	Addendum: Joint managerial functions
UNEP/FAO/RC/COP.5/25/Add.3	Addendum: Joint services
UNEP/FAO/RC/COP.5/25/Add.4	Addendum: Synchronization of budget cycles
UNEP/FAO/RC/COP.5/25/Add.5	Addendum: Joint audits
UNEP/FAO/RC/COP.5/25/Add.6	Addendum: Review arrangements
UNEP/FAO/RC/COP.5/INF/11	Report on joint activities carried out by the secretariats of the Basel, Rotterdam and Stockholm conventions during 2009 and 2010
UNEP/FAO/RC/COP.5/INF/12	Submissions from parties, regional centres and other stakeholders on activities carried out to implement the synergies decisions
UNEP/FAO/RC/COP.5/INF/13/Rev.1	Information submitted by the Inter-Organization Programme for the Sound Management of Chemicals on efforts to promote programmatic cooperation and coordination and on activities to implement the synergies decisions
UNEP/FAO/RC/COP.5/INF/14	Information submitted by the United Nations Environment Programme and the Food and Agriculture Organization of the United Nations on progress on programmatic cooperation in the field to support the implementation of the three conventions in areas of common concern and the inclusion of such cooperation in their biennial work programmes

UNEP/FAO/RC/COP.5/INF/15	Report on clearing-house mechanisms and similar mechanisms in the area of chemicals and wastes
UNEP/FAO/RC/COP.5/INF/16	Additional information on Safe Planet: the United Nations Campaign for Responsibility on Hazardous Chemicals and Wastes
UNEP/FAO/RC/COP.5/INF/17	Decision SC-5/27 of the Conference of the Parties to the Stockholm Convention on Persistent Organic Pollutants on enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions

**Item 7: Programme of work of the Secretariat and adoption of the budget**

UNEP/FAO/RC/COP.5/22	Activities of the Secretariat
UNEP/FAO/RC/COP.5/23	Financial report and review of the staffing situation in the Secretariat
UNEP/FAO/RC/COP.5/24	Programme of work and proposed budget for the biennium 2012–2013
UNEP/FAO/RC/COP.5/24/Add.1	Addendum: Budget scenarios
UNEP/FAO/RC/COP.5/INF/9	Update on funding and staffing situation

**Item 9: Other matters**

UNEP/FAO/RC/COP.5/INF/10/Rev.1	List of new observers
--------------------------------	-----------------------

## Annex III

### Report of the Bureau on the credentials of representatives to the fifth meeting of the Conference of the Parties

1. In accordance with rule 19 of the rules of procedure of the Conference of the Parties to the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, the credentials of representatives and the names of alternate representatives and advisers must be submitted to the Secretariat if possible not later than 24 hours after the opening of the meeting. Any later change in the composition of the delegation must also be submitted to the Secretariat. The credentials must be issued either by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority of that organization.
2. As at the end of 23 June 2011, the representatives of 104 parties to the Convention had registered to participate in the fifth meeting of the Conference of the Parties.
3. The credentials issued by the Head of State or Government or by the Minister for Foreign Affairs were received for the representatives of the following 85 parties participating in the meeting: Argentina, Armenia, Australia, Austria, Belgium, Benin, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Cameroon, Canada, Chile, China, Colombia, Cuba, Cyprus, Czech Republic, Democratic People's Republic of Korea, Denmark, Dominican Republic, Ecuador, European Union, Finland, France, Gabon, Gambia, Georgia, Germany, Greece, Guatemala, Guinea, Hungary, India, Iran (Islamic Republic of), Ireland, Jamaica, Japan, Jordan, Kazakhstan, Kuwait, Kyrgyzstan, Liechtenstein, Lithuania, Madagascar, Malaysia, Mali, Mexico, Mozambique, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan, Panama, Paraguay, Peru, Poland, Qatar, Republic of Korea, Republic of Moldova, Samoa, Senegal, Serbia, Slovakia, South Africa, Spain, Sri Lanka, Suriname, Sweden, Switzerland, Thailand, Togo, Trinidad and Tobago, Ukraine, United Kingdom of Great Britain and Northern Ireland, United Republic of Tanzania, Uruguay, Viet Nam, Zambia.
4. The credentials issued by the Head of State or Government or by the Minister of Foreign Affairs were received by facsimile or in photocopy for the representatives of the following 12 parties participating in the meeting: Bolivia (Plurinational State of), Burundi, Costa Rica, Ghana, Italy, Kenya, Maldives, Mauritania, Philippines, Romania, Sudan, Venezuela (Bolivarian Republic of). In accordance with the prevailing practices, it is recommended the credentials of these parties are accepted, on the understanding that the originals are submitted as soon as possible to the Secretariat.
5. The following party submitted credentials issued by an authority other than the authorities listed in rule 19 of the rules of procedure: Equatorial Guinea.
6. The following six parties participating in the meeting have not submitted credentials for their respective representatives: Congo, Côte d'Ivoire, Djibouti, Ethiopia, Saudi Arabia, Syrian Arab Republic.
7. The parties listed in paragraphs 5 and 6 are therefore participating in the meeting as observers and will be recorded as such in the report of the meeting and the list of participants.



## Annex IV

### Chrysotile asbestos

1. The following text was prepared by the contact group on candidate chemicals, on the understanding that the adoption of such a decision would be contingent on the Conference of the Parties agreeing to the listing of chrysotile asbestos in Annex III to the Convention.
2. As the Conference of the Parties did not list chrysotile asbestos in Annex III to the Rotterdam Convention at its fifth meeting, it agreed to annex the draft decision set out below to the present report for possible consideration at a future meeting.

### **Draft decision RC-[ / ]: [Follow-up action by the Chemical Review Committee on the listing of chrysotile asbestos in Annex III to the Rotterdam Convention**

#### **Submission by the contact group on candidate chemicals**

*The Conference of the Parties,*

*Having amended* the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade to list chrysotile asbestos in Annex III to the Convention,

*Recalling* Article 14 of the Convention,

*Recognizing* that the request for further action by the Chemical Review Committee with regard to chrysotile asbestos and its alternatives is exceptional,

*Recognizing also* the concerns expressed by a small number of parties regarding the scope of information made available through notifications of final regulatory actions and compiled in the decision guidance documents on chrysotile asbestos,

*Noting* that some parties have requested information on alternatives to chrysotile asbestos,

*Noting also* that some parties have requested information on additional protective measures not considered to be bans or severe restrictions but nevertheless intended to limit exposure to and control the risks posed by chrysotile asbestos,

*Bearing in mind* the capabilities of developing countries to manage risks and implement protective measures,

*Acknowledging* the potential role of the World Health Organization and other international organizations in contributing relevant information,

1. *Invites* parties and interested stakeholders to provide information to the Secretariat on alternatives to and risk management measures for chrysotile asbestos;
  2. *Requests* the Secretariat to compile and make available to the Chemical Review Committee and other interested stakeholders all information received in response to the invitation in paragraph 1 of the present decision;
  3. *Instructs* the Chemical Review Committee to review the available information and report on the results of its work to the Conference of the Parties at its sixth meeting.]
-